



An Assume Guarantee Approach for Checking Quantified Array Assertions

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Array verification

- Access is safe (**index in range**)
ASTREE, BLAST, SLAM, MAGIC, etc.
- Array content respects the specification (**Functional properties**)
 - Individual elements (**quantifier free** assertions)
BLAST, SLAM, MAGIC, etc.
 - **Collection of elements:**
 - **quantifiers are required**
E.g. “all array elements are initialized”, “Array is sorted”
 - **Limitation for existing tools**



Problem

- **Predicate abstraction:** a set of program states is represented by a logical formula ϕ built up from a finite set of **quantifier-free** base formulas
E.g., $x > 0 \wedge y = 0$ represents the set of states where program variable x has strictly positive values and y is null
- **Limitation:** a quantified formula $\forall x \in [0, n[. a[x] = 0$ is represented via
 $a[0] = 0, a[1] = 0, \dots$



Motivation

- An **automatic** method to check quantified array assertions [SAS' 09]
- limitation: can not handle insertion sort
- Observation: can deal with the inner loop of insertion sort
- Task: how to extend the method with **modular** reasoning



Example

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n)
  {
    index = a[i];
    j = i;
    while ((j > 0) && (a[j-1] > index))
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }
  assert( $\forall x, y. 0 \leq x, y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```



Example

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
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    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
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  }
  assert( $\forall x, y. 0 \leq x, y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

backward unrolling

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n-1)
  {
    index = a[i];
    j = i;
    while ((j > 0) && (a[j-1] > index))
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }

  index = a[n-1];
  j = n-1;
  while ((j > 0) && (a[j-1] > index))
  {
    a[j] = a[j-1];
    j = j - 1;
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}
```



Example

```
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  int i, j, index;
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    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }
  assert( $\forall x,y. 0 \leq x,y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

L(1,n)

backward unrolling

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n-1)
  {
    index = a[i];
    j = i;
    while ((j > 0) && (a[j-1] > index))
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }

  index = a[n-1];
  j = n-1;
  while ((j > 0) && (a[j-1] > index))
  {
    a[j] = a[j-1];
    j = j - 1;
  }
  a[j] = index;
  i++;
}
```



Example

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n)
  {
    index = a[i];
    j = i;
    while ((j > 0) && (a[j-1] > index))
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
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backward unrolling

```
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    a[j] = index;
    i++;
  }

  index = a[n-1];
  j = n-1;
  while ((j > 0) && (a[j-1] > index))
  {
    a[j] = a[j-1];
    j = j - 1;
  }
  a[j] = index;
  i++;
}
```




Example

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n)
  {
    index = a[i];
    j = i;
    while ((j > 0) && (a[j-1] > index)) L(1,n)
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }
  assert( $\forall x,y. 0 \leq x,y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

$$L(1,n) = L(1,n-1) ; C$$

backward unrolling

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n-1)
  {
    index = a[i]; L(1,n-1)
    j = i;
    while ((j > 0) && (a[j-1] > index))
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }

  index = a[n-1];
  j = n-1;
  while ((j > 0) && (a[j-1] > index))
  {
    a[j] = a[j-1];
    j = j - 1; C
  }
  a[j] = index;
  i++;
}
```



Example

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n)
  {
    index = a[i];
    j = i;
    while ((j > 0) && (a[j-1] > index)) L(1,n)
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }
  assert( $\forall x,y. 0 \leq x,y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

$L(1,n) = L(1,n-1) ; C$

Pre-recursive form

backward unrolling

```
void insertion_sort(int a[], int n)
{
  int i, j, index;
  i = 1;
  while(i < n-1)
  {
    index = a[i]; L(1,n-1)
    j = i;
    while ((j > 0) && (a[j-1] > index))
    {
      a[j] = a[j-1];
      j = j - 1;
    }
    a[j] = index;
    i++;
  }

  index = a[n-1];
  j = n-1;
  while ((j > 0) && (a[j-1] > index))
  {
    a[j] = a[j-1];
    j = j - 1; C
  }
  a[j] = index;
  i++;
}
```



Verification task

$\{\text{true}\} L(1, n) \{\varphi(n)\} ?$



Applying induction

$\{\text{true}\} \ L(1, n) \ \{\varphi(n)\} ?$



Applying induction

$\{\text{true}\} L(1, n) \{\varphi(n)\} ?$

- Prove $\{\text{true}\} L(1, 0) \{\varphi(0)\}$



Applying induction

$\{\text{true}\} L(1, n) \{\varphi(n)\} ?$

- Prove $\{\text{true}\} L(1, 0) \{\varphi(0)\}$
- Assume $\{\text{true}\} L(1, n-1) \{\varphi(n-1)\}$ and prove $\{\text{true}\} L(1, n) \{\varphi(n)\}$



Assume guarantee reasoning

$\{\text{true}\} L(1, n) \{\varphi(n)\}$



Assume guarantee reasoning

$\{\text{true}\} L(1, n) ; C \{\varphi(n)\}$



Assume guarantee reasoning

$$\{\text{true}\} L(1, n) ; C \{\varphi(n)\}$$

Using Hoare's rule of composition

$$\{\text{true}\} L(1, n-1) \{\varphi(n-1)\} \quad \{\varphi(n-1)\} C \{\varphi(n)\}$$

$$\{\text{true}\} L(1, n-1) ; C \{\varphi(n)\}$$



Assume guarantee reasoning

$$\{\text{true}\} L(1, n) ; C \{\varphi(n)\}$$

Using Hoare's rule of composition

$$\{\text{true}\} L(1, n-1) \{\varphi(n-1)\} \quad \{\varphi(n-1)\} C \{\varphi(n)\}$$

$$\{\text{true}\} L(1, n-1) ; C \{\varphi(n)\}$$

$\{\text{true}\} L(1, n-1) \{\varphi(n-1)\}$ is true (induction hypothesis)



Assume guarantee reasoning

$$\{\text{true}\} L(1, n) ; C \{\varphi(n)\}$$

Using Hoare's rule of composition

$$\{\text{true}\} L(1, n-1) \{\varphi(n-1)\} \quad \{\varphi(n-1)\} C \{\varphi(n)\}$$

$$\{\text{true}\} L(1, n-1) ; C \{\varphi(n)\}$$

$\{\text{true}\} L(1, n-1) \{\varphi(n-1)\}$ is true (induction hypothesis)

it suffices to prove $\{\varphi(n-1)\} C \{\varphi(n)\}$



Simplified task

```
void insertion_sort(int a[], int n)
{
    int i, j, index;
    i = 1;
    while(i < n)
    {
        index = a[i];
        j = i;
        while ((j > 0) && (a[j-1] > index))
        {
            a[j] = a[j-1];
            j = j - 1;
        }
        a[j] = index;
        i++;
    }
    assert( $\forall x, y. 0 \leq x, y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```



Simplified task

```
assume( $\forall x,y. 0 \leq x,y < n-1 \wedge x > y \Rightarrow a[x] \geq a[y]$ );  
index = a[n-1];  
j = n-1;  
while ((j > 0) && (a[j-1] > index))  
{  
    a[j] = a[j-1];  
    j = j - 1;  
}  
a[j] = index;  
i++;  
assert( $\forall x,y. 0 \leq x,y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
```



Simplified task

```
assume( $\forall x,y. 0 \leq x,y < n-1 \wedge x > y \Rightarrow a[x] \geq a[y]$ );  
index = a[n-1];  
j = n-1;  
while ((j > 0) && (a[j-1] > index))  
{  
    a[j] = a[j-1];  
    j = j - 1;  
}  
a[j] = index;  
i++;  
assert( $\forall x,y. 0 \leq x,y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
```

Loop nesting level
reduced: less loop
invariants to
compute



Example (2)

```
void selection_sort (int a[], int k, int n)
{
  int i, j, s;
  i = k;
  while(i < n)
  {
    s = i;
    for(j = i+1; j < n; ++j)
    {
      if(a[j] < a[s])
      {
        s = j;
      }
    }
    t = a[i]; a[i] = a[s]; a[s] = t; i++;
  }
  assert( $\forall x, y. k \leq x, y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```



Example (2)

```
void selection_sort (int a[], int k, int n)
{
  int i, j, s;
  i = k;
  while(i < n)
  {
    s = i;
    for(j = i+1; j < n; ++j)
    {
      if(a[j] < a[s])
      {
        s = j;
      }
    }
    t = a[i]; a[i] = a[s]; a[s] = t; i++;
  }
  assert( $\forall x, y. k \leq x, y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

L(k,n)

backward unrolling

```
i = k;
while(i < n-1)
{
  s = i;
  for(j = i+1; j < n; ++j)
  {
    if(a[j] < a[s])
    {
      s = j;
    }
  }
  t = a[i]; a[i] = a[s]; a[s] = t; i++;
}
s = n-1; i = n-1;
for(j = i+1; j < n; ++j)
{
  if(a[j] < a[s])
  {
    s = j;
  }
}
t = a[n-1]; a[n-1] = a[s]; a[s] = t; ++i;
```

L(k,n-1)?



Example (2)

```
i = k;
while(i < n-1)
{
  s = i;
  for(j = i+1; j < n-1; ++j)
  {
    if(a[j] < a[s])
    {
      s = j;
    }
  }
  t = a[i]; a[i] = a[s]; a[s] = t;
  i++;
}
```

L(k,n-1)

backward unrolling

```
i = k;
while(i < n-1)
{
  s = i;
  for(j = i+1; j < n; ++j)
  {
    if(a[j] < a[s])
    {
      s = j;
    }
  }
  t = a[i]; a[i] = a[s]; a[s] = t; i++;
}
s = n-1; i = n-1;
for(j = i+1; j < n; ++j)
{
  if(a[j] < a[s])
  {
    s = j;
  }
}
t = a[n-1]; a[n-1] = a[s]; a[s] = t; ++i;
```

L(k,n-1)?



Example (2)

```
void selection_sort (int a[], int k, int n)
{
  int i, j, s;
  i = k;
  while(i < n)
  {
    s = i;
    for(j = i+1; j < n; ++j)
    {
      if(a[j] < a[s])
      {
        s = j;
      }
    }
    t = a[i]; a[i] = a[s]; a[s] = t;
    i++;
  }
  assert( $\forall x, y. k \leq x, y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

L(k,n)

forward unrolling

```
s = k;
for(j = k-1; j < n; ++j)
{
  if(a[j] < a[s])
  {
    s = j;
  }
}
t = a[k]; a[k] = a[s]; a[s] = t;
i = k+1;
while(i < n)
{
  s = i;
  for(j = i+1; j < n; ++j)
  {
    if(a[j] < a[s])
    {
      s = j;
    }
  }
  t = a[i]; a[i] = a[s]; a[s] = t; i++;
}
```

L(k+1,n)



Example (2)

```
void selection_sort (int a[], int k, int n)
{
  int i, j, s;
  i = k;
  while(i < n)
  {
    s = i; L(k,n)
    for(j = i+1; j < n; ++j)
    {
      if(a[j] < a[s])
      {
        s = j;
      }
    }
    t = a[i]; a[i] = a[s]; a[s] = t;
    i++;
  }
  assert( $\forall x,y. k \leq x,y < n \wedge x > y \Rightarrow a[x] \geq a[y]$ );
}
```

L(k,n) = C ; L(k+1,n)
Post-recursive form

forward unrolling

```
s = k;
for(j = k_1; j < n; ++j)
{
  if(a[j] < a[s]) C
  {
    s = j;
  }
}
t = a[k]; a[k] = a[s]; a[s] = t;
i = k+1;
while(i < n)
{
  s = i;
  for(j = i+1; j < n; ++j)
  {
    if(a[j] < a[s]) L(k+1,n)
    {
      s = j;
    }
  }
  t = a[i]; a[i] = a[s]; a[s] = t; i++;
}
```



Applying induction

$\{\text{true}\} L(\mathbf{k},n) \{\varphi(\mathbf{k})\} ?$

- Prove $\{\text{true}\} L(\mathbf{n},n) \{\varphi(\mathbf{n})\}$
- Assume $\{\text{true}\} L(\mathbf{k}+1,n) \{\varphi(\mathbf{k}+1)\}$ and prove $\{\text{true}\} L(\mathbf{k},n) \{\varphi(\mathbf{k})\}$



Assume guarantee reasoning

$\{\text{true}\} C ; L(\mathbf{k}, n) \{\varphi(\mathbf{k})\}$



Assume guarantee reasoning

$\{\text{true}\} C ; L(\mathbf{k},n) \{\varphi(\mathbf{k})\}$

Introducing induction hypothesis

$\{\text{true}\} C ; L(\mathbf{k},n) ; \text{assume } (\varphi(\mathbf{k}+1)) \{\varphi(\mathbf{k})\}$



Assume guarantee reasoning

$$\{\text{true}\} C ; L(\mathbf{k},n) \{\varphi(\mathbf{k})\}$$

Introducing induction hypothesis

$$\{\text{true}\} C ; L(\mathbf{k},n) ; \text{assume } (\varphi(\mathbf{k}+1)) \{\varphi(\mathbf{k})\}$$

moving the assumption into the postcondition

$$\{\text{true}\} C ; L(\mathbf{k},n) \{\varphi(\mathbf{k}+1) \Rightarrow \varphi(\mathbf{k})\}$$



Example (postcondition weakening)

$$\varphi(k) \equiv \forall \mathbf{x}, \mathbf{y}. k \leq \mathbf{x}, \mathbf{y} < n \wedge \mathbf{x} > \mathbf{y} \Rightarrow a[\mathbf{x}] \geq a[\mathbf{y}]$$

$$\varphi(k+1) \equiv \forall \mathbf{x}, \mathbf{y}. k+1 \leq \mathbf{x}, \mathbf{y} < n \wedge \mathbf{x} > \mathbf{y} \Rightarrow a[\mathbf{x}] \geq a[\mathbf{y}]$$

$$\varphi(k+1) \Rightarrow \varphi(k) \equiv \forall \mathbf{x}. k+1 \leq \mathbf{x} < n \wedge a[\mathbf{x}] \geq a[k]$$



Transformation algorithm

Extended report available upon request



Implementation

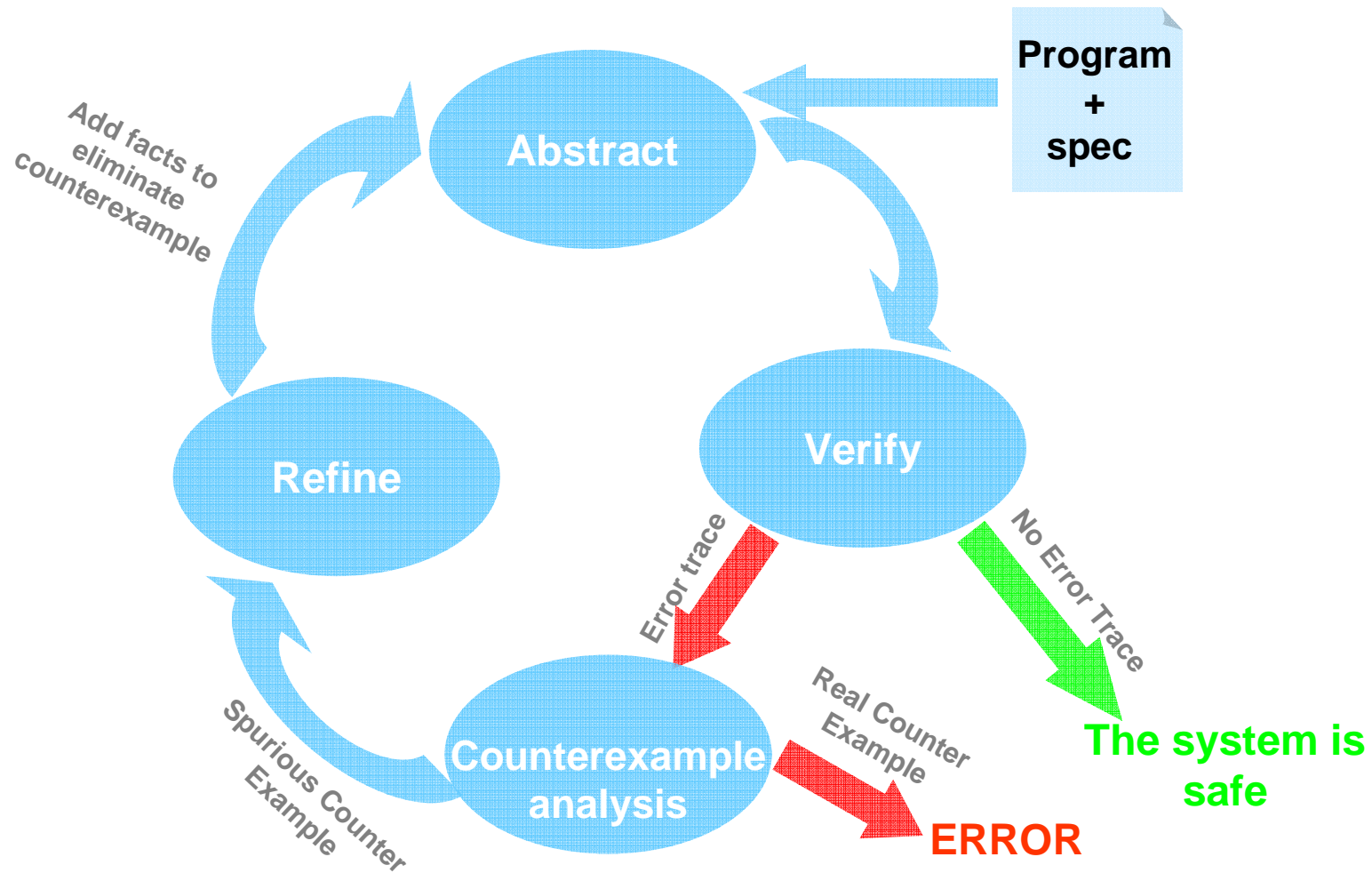
- Integration into ACSAR (C++)
<http://swt.informatik.uni-freiburg.de/~seghir/acsar>
- Using **Yices** (API Lite) and **Simplify** as backend

<http://yices.csl.sri.com>

<http://www.hpl.hp.com/downloads/crl/jtk/download-simplify.html>

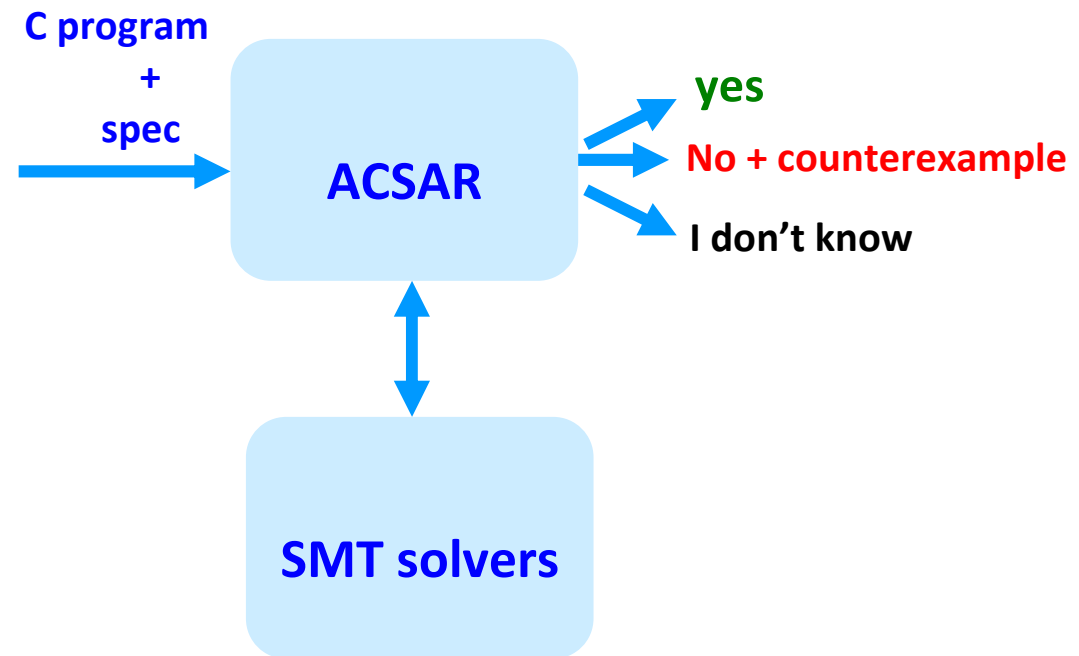


ACSAR



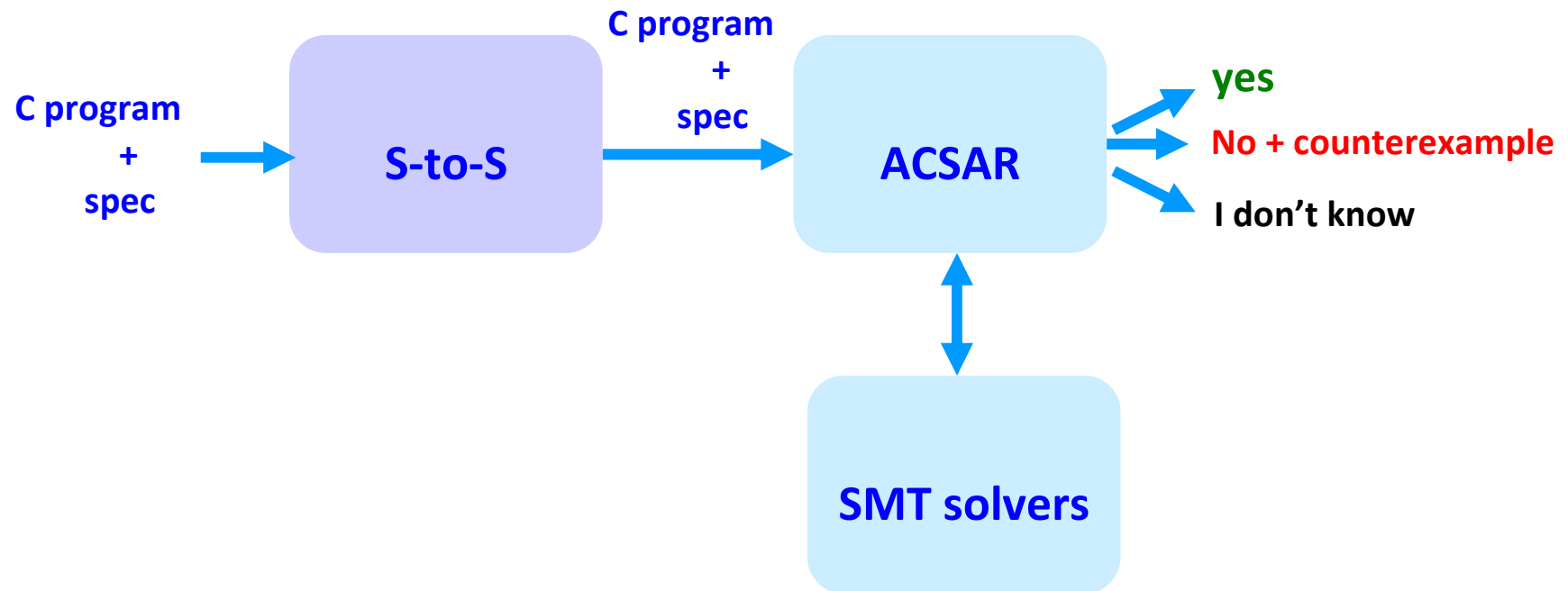


Integration scheme





Integration scheme





Experimental results (academic)

Program	Property	Tran	Iter.		Pred.		Time(s)	
			S	T	S	T	S	T
string_copy	0 terminal string s1 is copied to s2	PS / PR [•]	2	2	5	4	0.39	0.41
Scan	array entries before actual entry are not null	PS / PR [•]	3	2	3	2	0.27	0.14
array_init	array entries are initialized	PS / PR [•]	2	1	6	2	0.50	0.13
loop1	each array entry is initialized with its index	PS / PR [•]	3	1	5	2	0.51	0.21
copy1	array <i>a</i> is copied to array <i>b</i>	PS / PR [•]	2	1	6	2	0.70	0.23
num_index	for every array entry <i>i</i> of array <i>a</i> we have $a[i] = 2 * i + 3$	PS / PR [•]	2	1	6	2	0.68	0.21



Experimental results (Linux + Xen hypervisor)

Program	Property	Tran	Iter.		Pred.		Time(s)	
			S	T	S	T	S	T
cyber_init	For every entry i of array a , if i modulo 4 is equal to 0,1,2 or 3 then $a[i]$ is initialized to the given values v_0, v_1, v_2, v_3	PS [•] / PR	8	8	13	12	9.47	5.60
dvb_net_feed_stop	Entries different from 0 in their pre-state are set to 0	PS / PR [•]	3	2	8	3	3.41	0.30
perfect_copy_info	For each entry i of array a if $a[i]$ has some property p then $b[i]$ and $c[i]$ should be equal	PS / PR [•]	4	5	16	8	10.57	1.50
do_enoprof_op	If variable op has value v_1 and variable s has value v_2 then array a is copied to array b	PS / PR [•]	3	2	14	6	8.9	0.54



Experimental results (sorting algorithms)

Program	Property	Tran	Iter.		Pred.		Time(s)	
			S	T	S	T	S	T
selection_sort	array is sorted	PS	3	5	37	35	409.87	173.50
insertion_sort	array is sorted	PR	-	5	-	39	-	145.60
bubble_sort	array is sorted	PS	-	8	-	42	-	188.90



Demo



Conclusion

- Assume guarantee approach for the verification of quantified array assertions
- **Pro**
 - Performance enhancement
 - Automatic verification of challenging programs (sorting algorithms)
 - Flexible and easy to integrate: source-to-source transformation
 - Other interesting properties can be handled by applying similar code manipulation techniques
- **Cons**
 - Applied before verification
 - Restriction on loop form



Thank you