

On Automated Program Construction and Verification

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War on Error

two approaches: (program correctness)

- **synthesis/construction** (Dijkstra/Gries)
- **verification** (Floyd/Hoare)

imperative programs:

- **pre/postconditions, invariants**, termination measures

challenge: from programming science to program engineering

- **modelling languages:** simple, expressive
- **tool support:** invisible, automatic

Our Approach

relational modelling languages
(à la Alloy)

domain specific algebras
(relation algebra . . . Kleene algebra)
+
ATP systems/model search
(Prover9, Mace4, RelView)

aim: automated support for Dijkstra/Gries method

- intuitive calculational reasoning
- modelling game of proof/refutation
- algebras/proofs at dark side of interface

Three Case Studies

automated correctness proofs of classical algorithms

1. **Warshall's algorithm** (synthesis)
2. reachability in digraphs (verification)
3. Szpilrajn's algorithm (synthesis/verification)

Relational Modelling Language

binary relation: $x \subseteq A \times A$

operations:

$$x + y \quad x * y \quad x' \quad 0 \quad U$$

$$x; y = \{(a, b) : \exists c. (a, c) \in x \wedge (c, b) \in y\}$$

$$x^\wedge = \{(b, a) : (a, b) \in x\}$$

$$1 = \{(a, a) : a \in A\}$$

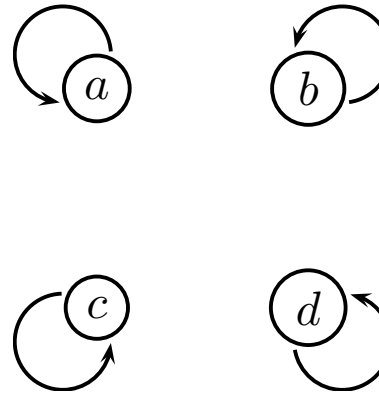
$$\text{rtc}(x) = \sum_{i \geq 0} x^i \quad \text{tc}(x) = \sum_{i \geq 1} x^i$$

$$d(x) = \{a \in A : \exists b \in A. (a, b) \in x\}$$

Relations, Matrices, Graphs

finite relations: matrices/graphs

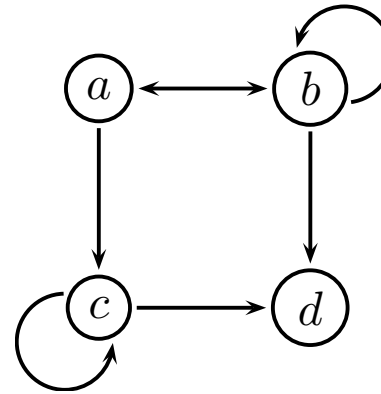
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Relations, Matrices, Graphs

finite relations: matrices/graphs

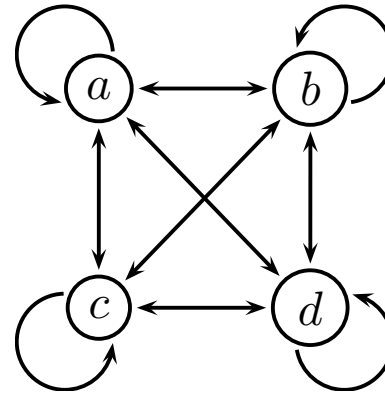
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Relations, Matrices, Graphs

finite relations: matrices/graphs

$$\begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{pmatrix}$$



Relations, Matrices, Graphs

relational operations reflected in matrix linear algebra

sets modelled as

- **vectors** (row constant matrices) or
- **subidentities**

$$\begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Domain-Specific Algebras

relation algebra: $(R, +, *, ', 0, U, ;, 1, ^)$

$$x+y=y+x \quad \& \quad x+(y+z)=(x+y)+z \quad \& \quad x=(x'+y')'+(x'+y)'$$

$$x;(y;z)=(x;y);z \quad \& \quad x;1=x \quad \& \quad (x+y);z=(x;z)+(y;z)$$

$$(x^{\wedge})^{\wedge}=x \quad \& \quad (x+y)^{\wedge}=x^{\wedge}+y^{\wedge} \quad \& \quad (x;y)^{\wedge}=y^{\wedge};x^{\wedge} \quad \& \quad x^{\wedge};(x;y)'+y'=y'$$

(reflexive) transitive closure: (à la Ng/Tarski)

$$1+x;rtc(x)=rtc(x) \quad \& \quad z+x;y \leq y \rightarrow rtc(x);z \leq y$$

$$1+rtc(x);x=rtc(x) \quad \& \quad z+y;x \leq y \rightarrow z;rtc(x) \leq y$$

$$tc(x)=x;rtc(x)$$

remark: executable code for Prover9/Mace4

Domain-Specific Algebras

idempotent semiring: $(S, +, ;, 0, 1)$

$x+y=y+x$ & $x+(y+z)=(x+y)+z$ & $x+0=x$ & $x+x=x$.
 $x;(y;z)=(x;y);z$ & $x;1=x$ & $1;x=x$ & $x;0=0$ & $0;x=0$.
 $x;(y+z)=x;y+x;z$ & $(x+y);z=x;z+y;z$.
 $x \leq y \leftrightarrow x+y=y$.

Kleene algebra: idempotent semiring + rtc-axioms

sets and points:

$a(x);x=0$ & $a(x;a(a(y)))=a(x;y)$ & $a(a(x))+a(x)=1$ & $d(x)=a(a(x))$.
 $\text{set}(x) \leftrightarrow d(x)=x$.
 $x \leq U$.
 $\text{rctangle}(x) \leftrightarrow x;(U;x)=x$.
 $\text{wpoint}(x) \leftrightarrow \text{set}(x) \& \text{rctangle}(x)$.

Synthesis vs Verification

proof obligations: (simple while-programs)

1. initialisation establishes **invariant** when **precondition** is true
2. loop executions preserve **invariant** when guard is true
3. **invariant** establishes **postcondition** when guard is false

synthesis: “program and correctness proof developed hand-in-hand”

- develop invariant as modification of postcondition
- incrementally establish proof obligations, derive guard/assignments

verification:

- add assertions (pre/postcondition, invariant) to code
- generate/analyse proof obligations automatically

Warshall's Algorithm: Initial Specification

spec: given finite binary relation x , find program with relational variable y that stores transitive closure of x after execution

goal: instantiate template

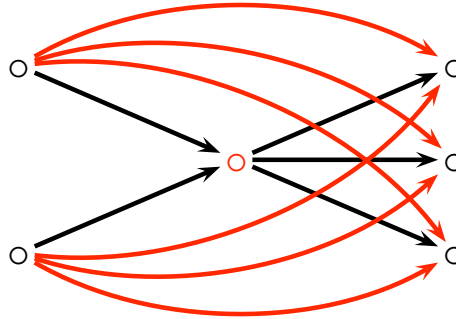
```
... y:=x ...  
while ... do  
  ... y:=? ... od
```

pre/postcondition: (evident from spec)

```
pre(x) <-> x=x.  
post(x,y) <-> y=tc(x).
```

task: use proof obligations to synthesise initialisation, guard, body

Developing the Invariant



invariant: $\text{inv}(x,y,v) \leftrightarrow (\text{set}(v) \rightarrow y=\text{rtc}(x;v);x).$

Initialisation and Guard

initialisation: $v := 0$

guard: $v \neq d(x)$

justification: in KA with domain by Prover9

```
pre(x) -> inv(x,x,0).           %no time
inv(x,y,v) & v=d(x) -> post(x,y). %no time
```

Termination and Synthesis of Loop

task: use preservation of invariant to find assignments

- $v := v + p$ (increment set v by point p)
- $y := y + f(y, p)$ (increment y by tc of y with p)

algorithm:

```
y, v := x, 0
while v != d(x) do
  p := point(v')           %choose fresh point from compl of v
  y, v := y + f(y, p), v + p od
```


Termination and Synthesis of Loop

next: determine $y + f(y, p)$

idea: y before/after assignment

- $y = \text{rtc}(x; v); x$
- $y = \text{rtc}(x; (v + p)); x = \text{rtc}(x; v + x; p); x \stackrel{?}{=} y + f(x, y, p)$

question: can we refine rtc of sum into sum of rtc's?

Termination and Synthesis of Loop

refinement law: for KA with maximal element and rectangle y

$$\text{rtc}(x + y) = \text{rtc}(x) + \text{rtc}(x); y; \text{rtc}(x)$$

consequence: for point p and $y = \text{rtc}(x; v); x$

$$\text{rtc}(x; (v + p)); x = y + y; p; y$$

therefore: $\text{wpoint}(w) \ \& \ \text{inv}(x, y, v) \ \& \ y \neq d(x) \ \rightarrow \ \text{inv}(x, y+y; (w; y), v+w) .$

Correctness of Warshall's Algorithm

theorem: Warshall's algorithm is (partially) correct:

```
y,v:=x,0
while v!=d(x) do
  p:=point(v')
  y,v:=y+y;p;y,v+p od
```

discussion: correctness by construction

- fully automated proof with Prover9
- uses KA axioms + 2 independent hypotheses
- Mace4 indicates when these are needed
- finding them can be learned
- reasoning essentially inductive (beyond FOL)

Outlook: Verification of Reachability Algorithm

task: compute set w of vertices that are reachable in digraph y
from set of vertices v

algorithm: (naive)

```
{pre(y,v) <-> true}  
w:=v  
while -(y^;w<=w) do  
  {inv(y,v,w) <-> v<=w & w<=rtc(y^);v}  
  w:=w+y^;w od  
{post(y,v,w) <-> w=rtc(y^);v}
```

idea: assume imaginary tool that translates assertions and
generates proof obligations

Outlook: Verification of Reachability Algorithm

assertions: (in KA, y^\wedge replaced by x)

```
%pre(x,v) <-> x=x.  
guard(x,v,w) <-> -(x;w<=w).  
post(x,v,w) <-> w=rtc(x);v.  
inv(x,v,w) <-> v<=w & w<=rtc(x);v.
```

proof obligations:

```
inv(x,v,w) & -guard(x,v,w) -> post(x,v,w).  
inv(x,v,v).  
inv(x,v,w) & guard(x,v,w) -> inv(x,v,w+x;w).
```

correctness proof: very quick/short with Prover9

in paper: verification of refined algorithm (no guard recomputations)

Discussion

contribution: automated program construction via algebra + ATP

theory engineering: calculational style ideal for automation

- here: relations as data structures
- in general: domain-specific algebras can model control flow
(Hoare logic, refinement calculus, process algebras, concurrency, . . .)

perspective: lightweight formal methods with heavyweight automation

- analyse further algorithms
- combine with SMT/ITP
- integrate into program analysis tools

complete code: www.dcs.shef.ac.uk/~georg/ka

Shut Up and Calculate!

[David Mermin]